



12/27/99

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Attorney Docket No. 31408-10229

PATENT

Sir:

Transmitted herewith for filing is the patent application of
 Inventor(s): Paul H. Stallings, Barton Stander, and Yunching Huang

For (Title): Method For Transforming CAD Model Using General Function Composition Mechanism

Enclosed are:

- ☒ 7 sheets of informal drawings.
- ☐ An assignment of the invention to _____
- ☐ A certified copy of a _____
- ☐ An associate power of attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Applicant(s) claim convention priority under 35 U.S.C. 119 based on _____ application
 Serial No. _____ filed _____

JC135 U.S. PTO
 09/472263
 12/27/99

(Col. 1)

(Col. 2)

SMALL ENTITY

OTHER THAN A
SMALL ENTITY

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	1 - 20 =	0
DEP. CLAIMS	1 - 3 =	0
MULTIPLE DEPENDENT CLAIM PRESENTED		

RATE	FEE
	\$ 380.00
x 9=	
x 39=	
+ 130=	\$
TOTAL	\$ 380.00

OR

OR

OR

OR

OR

OR

RATE	FEE
	\$ 760.00
x 18=	\$
x 78=	\$
+ 260=	\$
TOTAL	\$

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

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Dated December 27, 1999

Eric H. Weimers

Attorney of Record

Reg. No. 33,048

CERTIFICATE OF "EXPRESS MAIL" UNDER 37 CFR 1.10

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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METHOD FOR TRANSFORMING CAD MODEL USING GENERAL FUNCTION COMPOSITION MECHANISM

FIELD OF THE INVENTION

The invention relates to the field of Computer Aided Design (CAD) applications, and more particularly, to transforming the geometry of procedural models within CAD applications by using a generalized function composition mechanism allowing transformation with arbitrary functions.

BACKGROUND OF THE INVENTION

Alteration of the geometry of a model has been known in the art. Common applications of geometry transformation include moving, scaling and rotating models, which merely apply a linear transformation to the model's geometry. Nothing in these simple transformations indicated the use of arbitrary function composition to perform more complicated, arbitrary transformations.

Geometric transformation using a single specific function is also known in the art. For example, U.S. Patent No. 4,821,214 to Sederberg [Sederberg] demonstrates the transformation of a model defined by a grid of control points by a single specific function. [Sederberg] does not indicate the ability to perform a transformation using any arbitrary function, and [Sederberg] does not disclose defining the model using procedural surfaces, curves and positions to allow arbitrary function composition. In order to define a shape, [Sederberg] requires the user to define a set of control points that approximate the shape. In order to achieve accuracy required for many operations such as Computer Aided Manufacturing (CAM), a large number of control points must be defined which makes working with the model difficult. [Sederberg] does not disclose representing the geometry of a shape as a set of functions which allow for more accurate and easier manipulation.

Similarly, the Pro-Engineer CAD modeling product by Parametric Technologies Corporation [PTC] contains a bend function which allows a user to perform a specific, pre-defined bend transformation function. Nothing in [PTC] discloses using a general function composition mechanism to allow transformation with an arbitrary, user-defined function.

Because of the limitations of the prior art, there exists a continuing need to allow geometric transformation in CAD applications using a generalized mechanism for applying arbitrary functions.

SUMMARY OF THE INVENTION

The present invention overcomes the limitations of the prior art by providing methods for accurately transforming CAD models represented by procedural curves and surfaces through a general function composition mechanism allowing transformation by any arbitrary function.

It is an object of this invention to provide a general function composition mechanism to transform solid models represented as procedural curves and surfaces using any arbitrary function as a transformation function. A shape in a solid model consists of a topography and a geometry. The topography of the shape defines how the faces, edges and vertices of the shape connect to one another. The geometry of

NAME _____
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Todd Londa
TITLE OF PERSON OTHER THAN OWNER Vice President, Administration
ADDRESS OF PERSON SIGNING 2425 55th Street, Suite 100, Boulder, Colorado 80301

SIGNATURE

Todd S. Londa

Date

12/27/99

Attorney's Docket No. 31408 10228

Applicant or Patentee: Paul H. Stallings, Barton Stander, and Yunching Haung

Serial or Patent No.: _____

Filed or Issued: _____

For: Method for Transforming CAD Model Using General Function Composition Mechanism

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(c))—SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Spatial Technology Inc.

ADDRESS OF CONCERN 2425 55th Street, Suite 100, Boulder, Colorado 80301

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention entitled

Method for Transforming CAD Model Using General Function Composition Mechanism

by inventor(s) Paul H. Stallings, Barton Stander, and Yunching Haung

described in

- ☒ the specification filed herewith.
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

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(Small Entity—Small Business—page 1 of 2)

the shape underlies those faces, edges and vertices with surfaces, curves and positions, respectively, which define the location in space of the set of points of the shape and its component parts.

Because the surfaces and curves of the shape may be defined by a set of functions which map points from a domain space into 3-dimensional space, space warping allows the geometry of a shape to be altered by an arbitrary transformation function without changing the topography of the shape.. These underlying functions may be transformed through function composition with the transformation function into new surface and curve functions. The positions of the original shape may simply be passed through the transformation function to provide the positions of the new shape. The new geometry of the shape may be determined by passing each point in the domain of each of the original surface and curve functions through that function's corresponding new composed function. The resulting set of points represents the geometry of the new shape.

It is a further object of this invention to provide an easy, interactive mechanism for allowing a user to transform solid models using an arbitrary transformation function. In order to provide this interactivity, the original shape is first displayed to the user. The user may then define a function with which to transform the shape. Once the shape has been transformed, the resulting shape is shown to the user. The user may then keep the transformed shape or refine the transformation function further.

It is a further object of this invention to allow the user to accurately perform specific transformations representing structural deformations of an object, such as bending, twisting or stretching the object, by transforming the functions representing the geometry of the object.

BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1: Diagram of initial CAD model of shape
- FIG. 2: Diagram of model after complex warp of shape.
- FIG. 3: Diagram of model after bend warp.
- FIG. 4: Schematic diagram illustrating bend warp changing geometry.
- FIG. 5: Process flow diagram of space warping method.
- FIG. 6: Diagram of model after twist warp.
- FIG. 7: Diagram of model after stretch warp.

DETAILED DESCRIPTION OF THE INVENTION

I. Introduction

Space warping is the process of applying a transformation of three-dimensional Euclidean space, or \mathbb{R}^3 to \mathbb{R}^3 , to a CAD model. A CAD model is a computer model of an object that is represented by a set of faces, edges and vertices. The faces have underlying them surfaces, the edges have underlying them curves, and the vertices are at given positions in space. The faces, edges and vertices of a CAD model are called the topology of the model. The surfaces, curves and positions are called the geometry of the model. The topology of the model indicates how the faces, edges and vertices of the model connect to each other, while the geometry of the model indicates the location of the points underlying the model and its component

parts in space. Space warping is a process by which the geometry of a model is changed leaving the topology the same.

The present invention allows accurate transformation of a CAD model by an arbitrary function using a generalized transformation mechanism. The method may be applied to shape representations that are commonly used in CAD applications and reduces the problem of transformation to the composition of the transformation function and the surface functions, curve functions, and positions underlying the initial shape representation.

The methods discussed herein must be performed in a solid modeler. A solid modeler that is suitable for performing the methods discussed herein is ACIS Geometric Modeler which is commercially available from Spatial Technology Inc., Boulder, Colo. ACIS is written in C++. Specific embodiments of the invention will be discussed as using ACIS. However, the present invention, including each of the specific embodiments described herein, may be practiced with other solid modelers.

Throughout this description, we describe one possible embodiment of the invention in which the CAD model being transformed is defined using a boundary representation. Of course, other shape representations may be used, as long as the shape is capable of being represented as a set of surface or curve functions and positions.

A. Technical Background

The principal idea in Space Warping is to allow end users to accurately transform the geometry of CAD models through a generalized transformation mechanism allowing transformation with any arbitrary function. As shown in FIG. 1, CAD applications may represent a shape consisting of faces 30, 40, 50, edges 60, 70 and vertices 80, 90, and its underlying surfaces, edges and positions. The shape of figure one consists of a block 10 with a hole in it 20. It has seven faces 30, 40, 50, fourteen edges 60, 70, and ten vertices 80, 90, two of which are on the hole. The underlying geometry of the model therefore has seven surfaces, fourteen curves, and ten positions. The topography of the block 10 is such that the front face 30 is connected to the top face 40 at the front, top edge 60. Note that the interior sides of the hole, interior face 50, is connected to the front face by the front interior edge 70. The front, top edge 60 is a line segment connected between two vertices, the front, top left vertex 80, and the front, top right vertex 90.

In a procedural model, a surface in 3-dimensional space is defined in a parametric form by a function s from \mathbb{R}^2 to \mathbb{R}^3 , which maps points from a 2-dimensional domain space (u,v) to a 3-dimensional image space (x,y,z) . The parametric form for a surface may be expressed as:

$$S(u,v) = \begin{bmatrix} x(u,v) \\ y(u,v) \\ z(u,v) \end{bmatrix}$$

Typically, u and v are bounded in some way, for example, $0 \leq u \leq 1$, and $0 \leq v \leq 1$, finishing the definition of the surface's domain space. The surface function determines the geometry of the surface by mapping each point in the domain space (u,v) of the function to a corresponding point in 3-dimensional space (x,y,z) . The set of resulting points in (x,y,z) space is the geometry of the surface.

Similarly, a curve in 3-dimensional space may be defined by a parametric function c from \mathbb{R}^1 to \mathbb{R}^3 , which maps points from a 1-dimensional domain space (t) to a 3-dimensional image space (x,y,z) . Typically, t is also bounded in some way, such as $0 \leq t \leq 1$. The parametric form for the curve may be expressed as: $c(t) = [x(t), y(t), z(t)]$

$$c(t) = \begin{bmatrix} x(t) \\ y(t) \\ z(t) \end{bmatrix}$$

The curve function determines the geometry of the curve by mapping each point in the domain space (t) of the function to a corresponding point in 3-dimensional space (x,y,z) . The set of resulting points in (x,y,z) space is the geometry of the curve.

Finally, a position defines a precise location in 3-dimensional space (x,y,z) .

B. Transforming the Geometry of a Shape

Representing the underlying surface and curve geometry of a shape as a set of functions allows us to easily create a new geometry for the shape by composing these functions with an arbitrary transformation function. This approach allows a user to define any arbitrary function, warp the model to view the new geometry and refine the warp by altering the transformation function until the desired geometry is achieved.

Transformation of a shape occurs by transforming each point in the geometry of a shape by a transformation function f from \mathbb{R}^3 to \mathbb{R}^3 , which takes as input a point in (x,y,z) space and transforms that specific point into another location in (x,y,z) space, such that $f(x, y, z) = (x', y', z')$. For example, a transformation function for adding a sine wave transformation to the y -coordinate of a shape might be defined as $f(x,y,z) = f(x, y+\sin(x), z)$. In FIG. 2, the block **10** of FIG. 1 is transformed into a new block **110** with the function $f(x,y,z) = (x, y+\sin(x)*\cos(x), z)$. It should be noted that the topography of the new shape still contains the same faces, edges and vertices connected as before, but the geometry underlying that topography has been changed. The front face **130** of the new shape is still connected to the top face **140** by the front, top edge **160**, and the front, top edge **160** is still connected between the front, top left vertex **180** and the front, top right vertex **190**. The only difference between FIG. 1 and FIG. 2 is that the location of the points underlying the topography in (x,y,z) space has changed.

FIGs. 3 and 4 illustrate how a bend transformation affects the curves defining a particular surface. FIG. 3 shows how the block **10** of FIG. 1 is transformed into a new block **230** using a bend warp, which takes all points in a specified region and

warps them into concentric arcs around a particular plane at a specified angle. FIG. 4 shows a B-spline representation of a surface patch defined by a set of curves 330, 340 in the domain space (u,v). The resulting curves 350, 360 after the bend transformation define the new surface 320.

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The present invention allows the user to easily and accurately transform the initial shape by any arbitrary function. Because the underlying geometry of a shape may be expressed as a set of functions and positions which define the set of all of the points of the geometry, these functions may be easily composed with any transformation function to create new functions. Function composition involves concatenating one function with another function, such that the output value of the first function is used as the input value of the second function. An example of a composition of two functions from \mathbb{R}^3 to \mathbb{R}^3 , where the first function is $f_1(x,y,z) = (x+1, y+1, z+1)$ and the second function is $f_2(x,y,z) = (x^2, y^2, z^2)$ could be expressed either as $f_2(f_1(x,y,z))$, or more conveniently as $f_2 \bullet f_1(x,y,z) = [(x+1)^2, (y+1)^2, (z+1)^2]$.

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In order to transform the geometry of a shape by an arbitrary function, the functions and positions underlying the existing geometry are simply composed with the transformation function $f(x,y,z)$. For example, if one of the surfaces of the shape can be described by the surface function

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$$s1(u,v) = \begin{bmatrix} x(u,v) \\ y(u,v) \\ z(u,v) \end{bmatrix},$$

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and the transformation function f is defined as $f(x,y,z) = (x'(x), y'(y), z'(z))$, the new surface function $sf1$ will be defined as:

$$f \bullet s1(x,y,z) = \begin{bmatrix} x'(x(u,v)) \\ y'(y(u,v)) \\ z'(z(u,v)) \end{bmatrix}.$$

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Similarly, if one of the curves of the shape can be described by the curve function $c1$

$$c1(t) = \begin{bmatrix} x(t) \\ y(t) \\ z(t) \end{bmatrix},$$

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the new curve function $cf1$ will be defined as:

$$f \bullet c1(x,y,z) = \begin{bmatrix} x'(x(t)) \\ y'(y(t)) \\ z'(z(t)) \end{bmatrix}.$$

Once all of the functions defining the surfaces and curves of the shape's geometry have been transformed into new surface and curve functions, the geometry of the new shape can be easily calculated by taking all points in the domain of each original function, and calculating the output value of the corresponding new function.

- 5 The positions for the new shape are calculated by passing the original positions of each vertex through the transformation function. The resulting set of points is the geometry of the new shape.

C. Method

- 10 The basic sequence of space warping is shown in FIG. 5.

- STEP 1 - Obtain a procedural model of a shape by defining surface functions, curve functions and positions of the shape. If the shape representation does not define the underlying geometry in terms of functions, convert the shape representation to one
15 using underlying functions.

Substep 1-1: Define all the surfaces in all faces of the body using surface functions $s_1, s_2, s_3 \dots$ from \mathbb{R}^2 to \mathbb{R}^3 .

- 20 Substep 1-2: Define all the curves in all the edges of the body into curve functions $c_1, c_2, c_3 \dots$ from \mathbb{R}^1 to \mathbb{R}^3 .

- STEP 2: Define a transformation function f from \mathbb{R}^3 to \mathbb{R}^3 . The transformation function f takes a point in (x,y,z) space and transforms it into a new point in (x,y,z) space.
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STEP 3 - Create new functions and positions by performing function composition with the transformation function.

- 30 Substep 3-1: Surfaces.

Given the transformation function f from Step 2, take the function composition of each of the functions from Substep 1-1 with f to create a new set of surface functions $sf_1, sf_2, sf_3 \dots$ from \mathbb{R}^2 to \mathbb{R}^3 .

- 35 Substep 3-2: Curves.

Given the transformation function f from Step 2, take the function composition of each of the curve functions from step 1 with f to create a new set of curve functions $cf_1, cf_2, cf_3 \dots$ from \mathbb{R}^1 to \mathbb{R}^3 .

- 40 STEP 4 - Convert the new functions and positions of Step 3 into the new geometry of the shape.

Substep 4-1: Surfaces.

- Create a new set of surfaces $ws_1, ws_2, ws_3 \dots$ from the functions created in Substep 3-1 by taking each of the points in the domain of the original surface functions ($s_1, s_2, s_3 \dots$) and passing these points through the new surface functions ($sf_1, sf_2, sf_3 \dots$).
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Substep 4-2: Curves.

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STEP 6 - END?

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ordinary skill in the art in light of the above disclosures. The scope of the invention is to be defined only by the claims appended hereto, and by their equivalents.

[illegible]

We claim:

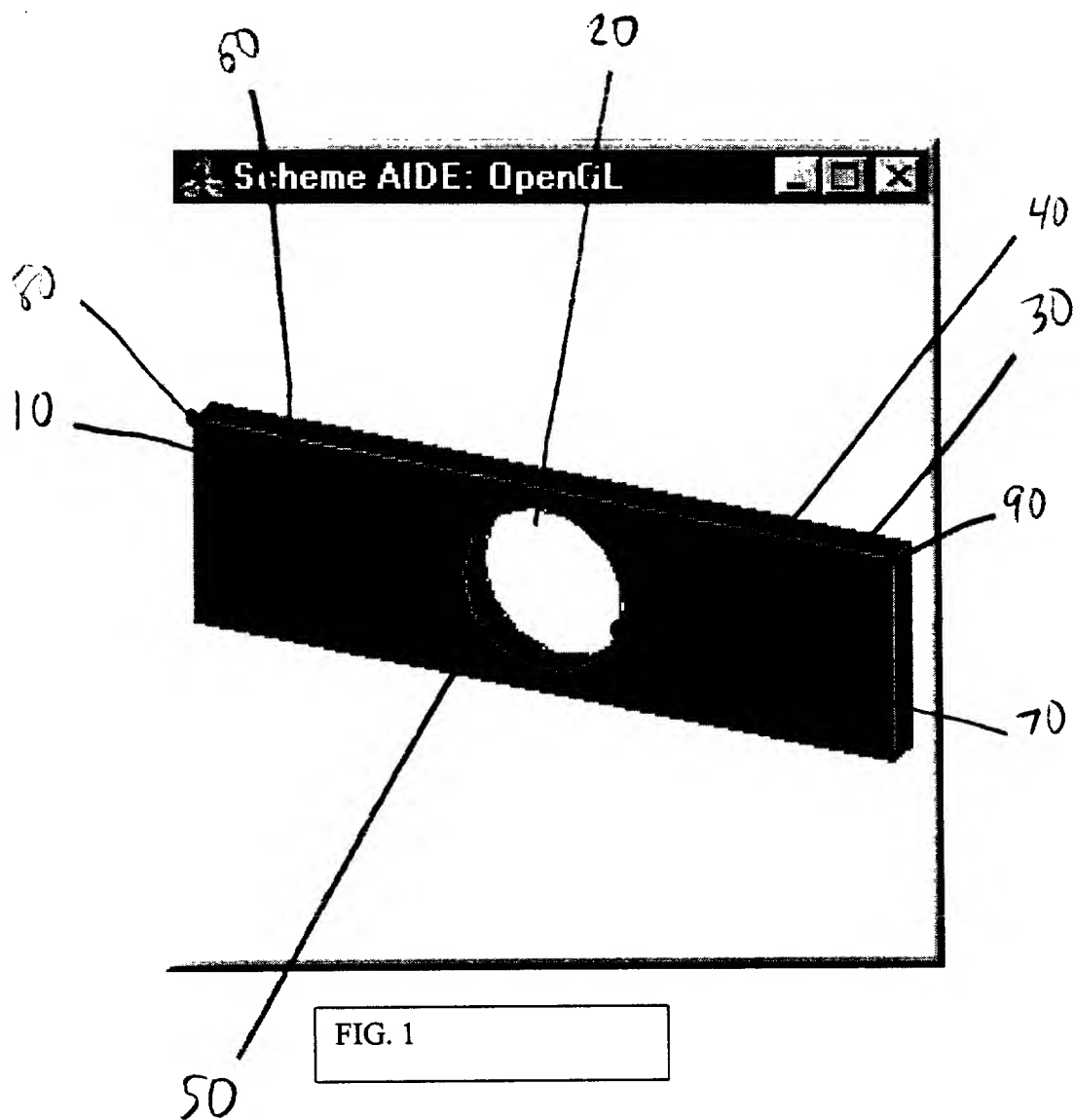
1. A method for using surface and curve functions and positions in a CAD model to define the geometry of a shape to allow the transformation of the shape with an arbitrary function, said method comprising the steps of:
 - 5 a. Obtaining a solid model containing one or more faces, edges and/or vertices, where the underlying geometry of each face, edge or vertex may be represented, respectively by a surface, curve, or position, and each surface or curve may be represented by a function mapping from a domain space into 3-dimensional space;
 - 10 b. Defining a transformation function mapping from 3-dimensional space to 3-dimensional space;
 - 15 c. Creating new surface and curve functions by performing function composition with each of the existing surface and curve functions with the transformation function;
 - 20 d. Creating new, surfaces and curves by taking each point in the domain of each of the original surface and curve functions and passing the point through the corresponding new function, and creating new positions by passing each original position through the transformation function; and
 - 25 e. Resetting the geometry of the CAD model.

ABSTRACT

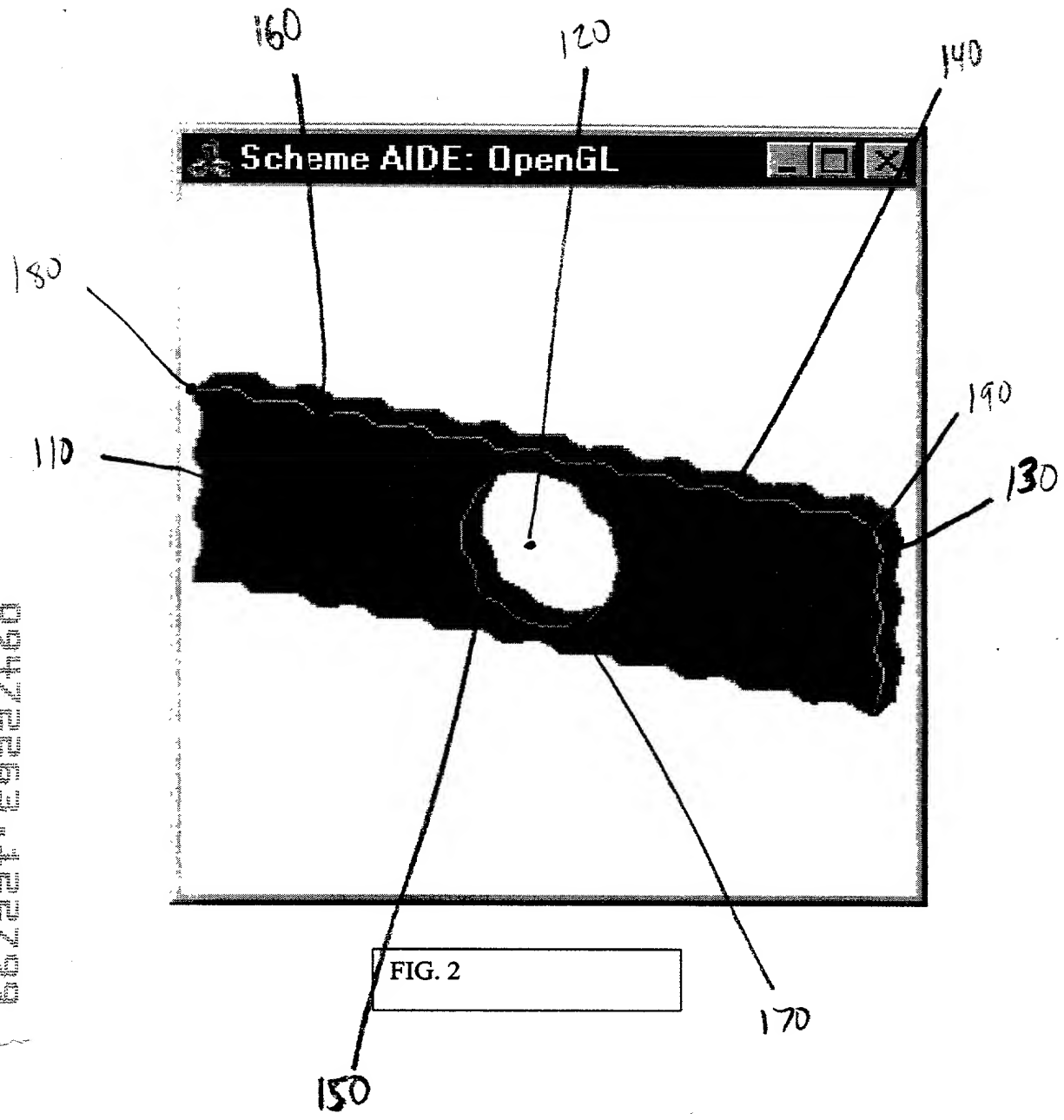
Methods for the transformation of shapes in Computer Aided Design (CAD) applications applying a general function composition mechanism with any arbitrary function. This method allows the geometry of a shape to be transformed by any generic function while maintaining the topography of the shape. To enable this transformation, the underlying geometry of a shape must either be expressed in terms of surface and curve functions and positions underlying the faces, edges and vertices respectively of the shape, or be capable of being converted into such a representation.

Once the underlying geometry of the shape has been represented as a set of functions and positions, the functions are composed with an arbitrary transformation function to define new surface and curve functions. The positions are merely passed through the transformation function. Once the new functions and positions have been created, the geometry of the transformed shape can be found by passing each point in the domain of each original geometry function through the new transformed function. The resulting set of points is the geometry for the transformed shape. This shape may then be displayed to the user, and the steps of this method repeated for refinement of the transformation function.

60 20 40 30 90 70 50



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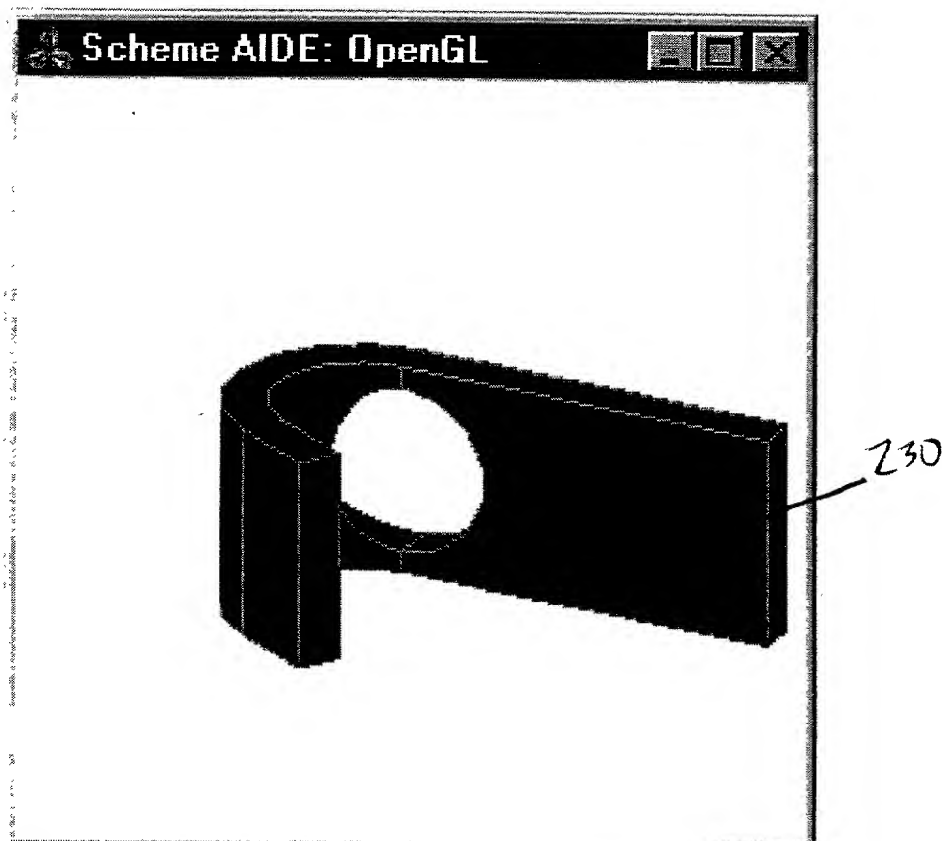


FIG. 3

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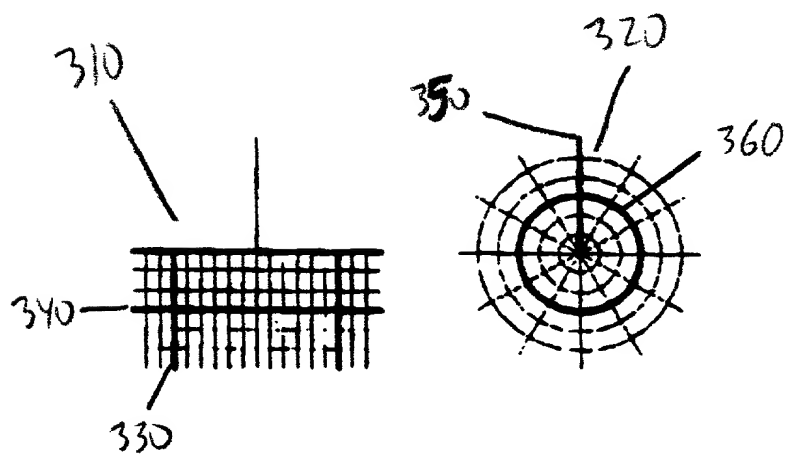


FIG. 4

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662227E922460

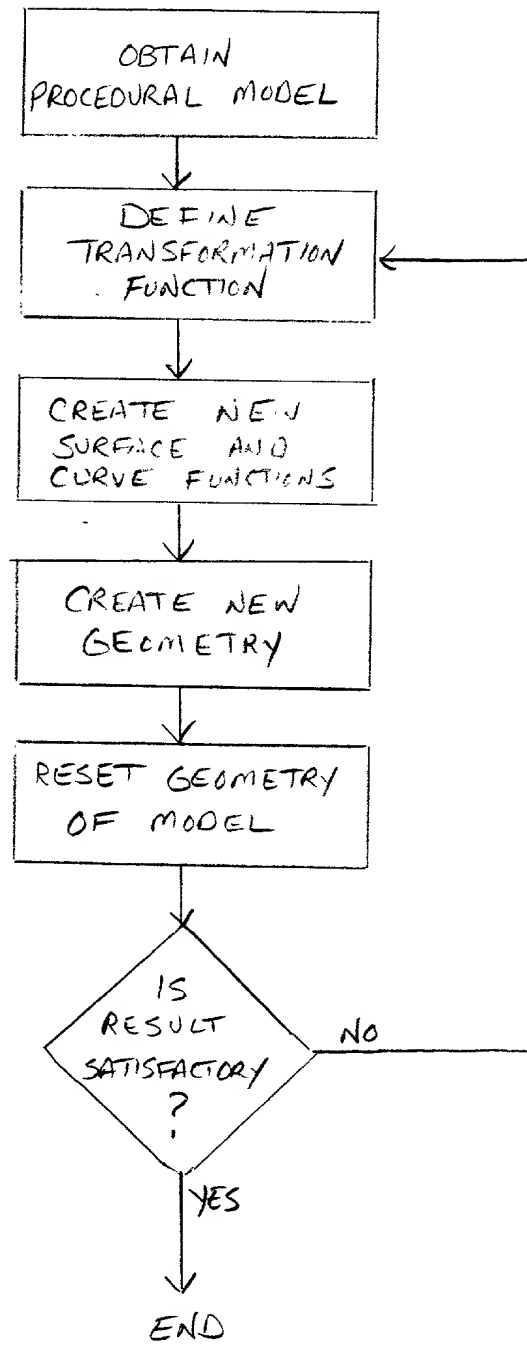


FIG. 5

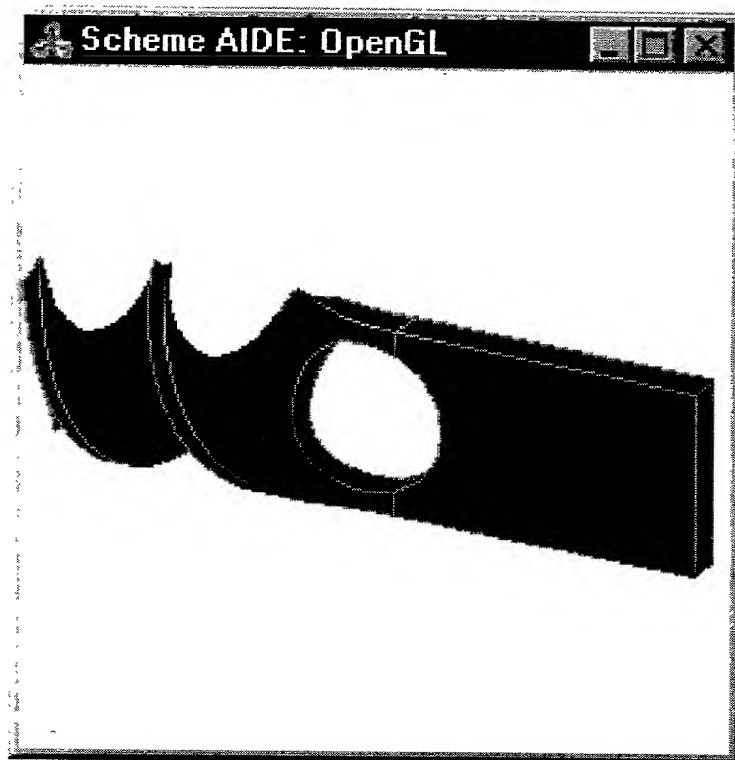


FIG. 6

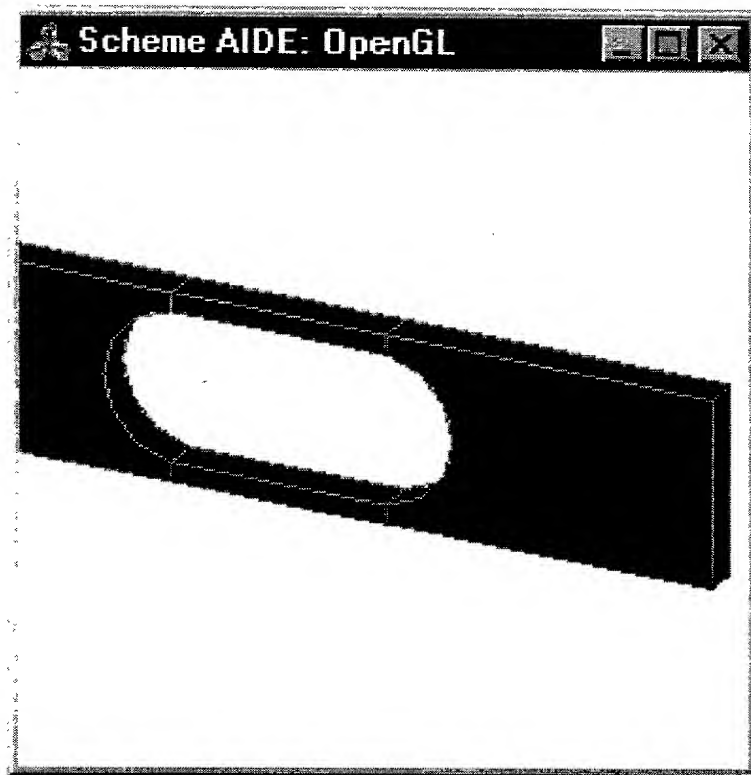


FIG. 7